# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/590,441

Applicant Filed

Hirokazu INOUE

Title

August 23, 2006 METHOD OF INDUCING HOMOLOGOUS RECOMBINATION

Conf. No.

6096

TC/A.U.

1632

Examiner

TBD

Customer No.

000,116

Docket No.

WING1-41066

# LETTER REQUESTING CORRECTED FILING RECEIPT

Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Filing Receipt for the above-identified application. The receipt contains an error in the "Assignment for Published Patent Application" section of the receipt. The section should read as follows:

Japan Science and Technology Agency, Saitama, JAPAN; National University Corporation Saitama University, Saitama, JAPAN

Applicant notes that an Assignment from Japan Science and Technology Agency to National University Corporation Saitama University was recorded at the U.S. Patent Office on December 4, 2007 at Reel 020191, Frame 0046. A copy of the Notice of Recordation of Assignment Document is enclosed for your convenience. In addition, submitted herewith is a revised Application Data Sheet.

Please issue a corrected filing receipt for this application and forward the same to the undersigned attorney of record.

Respectfully submitted,

PEARNE & GORDON LLP

By:

Paul A. Serbinowski, Reg. No. 34429

1801 East 9th Street Suite 1200 Cleveland, Ohio 44114-3108 (216) 579-1700

Date: March 17, 2008



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**SUITE 1200** 

CLEVELAND, OH 44114-3108

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/590.441	08/23/2006	1632	900	41066	13	1

**CONFIRMATION NO. 6096** 

**FILING RECEIPT** PEARNE & GORDON LLP 1801 EAST 9TH STREET

Date Mailed: 03/10/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Hirokazu Inoue, Saitama, JAPAN;

**Assignment For Published Patent Application** 

Japan Science and Technology Ageny, Saitama, JAPAN

National University Corporation Saitama University, Saitama, JAPAN Power of Attorney: The patent practitioners associated with Customer Number 116

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP2004/012516 08/31/2004

Foreign Applications

JAPAN 2004-052952 02/27/2004

If Required, Foreign Filing License Granted: 03/02/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 10/590,441

Projected Publication Date: 06/12/2008

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Method of Inducing Homologous Recombination

**Preliminary Class** 

435

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



DECEMBER 04, 2007

PTAS PEARNE & GORDON, LLP, P. SERBINOWSKI 1801 EAST 9TH STREET, SUITE 1200 CLEVELAND, OH 44114-3108

\*5004111414\*



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/04/2007

REEL/FRAME: 020191/0046 NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: WING1-41066

ASSIGNOR:

JAPAN SCIENCE AND TECHNOLOGY AGENCY

DOC DATE: 11/20/2007

ASSIGNEE:

NATIONAL UNIVERSITY CORPORATION SAITAMA UNIVERSITY 255, SHIMO-OHKUBO, SAKURA-KU, SAITAMA-SHI SAITAMA, JAPAN 338-8570

SERIAL NUMBER: 10590441

FILING DATE: ISSUE DATE:

PATENT NUMBER:

TITLE: METHOD OF INDUCING HOMOLOGOUS RECOMBINATION

O:PEARNE & GORDON, LLP, P. TERBINOWSKI COMPANY:1801 I OT 9TH STREET, SUITE 1

020191/0046 PAGE 2

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

## **PATENT ASSIGNMENT**

Electronic Version v1.1 Stylesheet Version v1.1 12/04/2007 500411141

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

**ASSIGNMENT** 

### **CONVEYING PARTY DATA**

	Name	Execution Date	
١	Japan Science and Technology Agency	11/20/2007	

## **RECEIVING PARTY DATA**

Name:	National University Corporation Saitama University		
Street Address:	255, Shimo-Ohkubo, Sakura-ku, Saitama-shi		
City:	Saitama		
State/Country:	JAPAN		
Postal Code:	338-8570		

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	10590441

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ATTORNEY DOCKET NUMBER:

WING1-41066

NAME OF SUBMITTER:

Paul A. Serbinowski

Total Attachments: 2

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